Vontobel

Cookie Policy

Last updated: July 2020

Contents

1. Preliminary Remarks

Vontobel and its associated companies ("Vontobel," "we," or "us") enormously appreciate your visit to this website/app and your interest in our services. The protection of your data is important to us, and we want you to be able to use our website, apps, and services without reservations. Vontobel sets great store on handling your personal data responsibly, in accordance with the legal requirements.

This page tells you how we can set and read cookies, similar technologies, and device identifiers (collectively "cookies") when you use our websites, apps and services (such as advertising services, products, services, brands, platforms, technologies) or visit a website, app, or service that uses our services. Further information on how we handle your data can be found in our Privacy Policy.

2. What Are Cookies, Similar Technologies, and Device Identifiers?

Cookies are text files that are stored on your electronic device when you visit a website or app or use our services.

Similar technologies principally include methods to recognize website, app, or service users (or you) so that we can make our products more interesting for you.

Vontobel

Device identifiers can be generated randomly or put together from existing system information (such as IP address, browser version, operating system, and version, device type and settings, mobile device and advertising identifiers such as ID for Advertising (Apple), ID for Vendors (Apple), Android ID (Google), Play Store Ad ID (Google), ISDN numbers of subscribers to wireless services, wireless UIDH, public phone-connection data, mobile network data, and software data.

In general, cookies, similar technologies, and device identifiers can be used to make use of the websites, apps, and services of Vontobel or third-party providers more user-friendly and more efficient. This includes application of user settings, providing personalized environments (like personalized content and advertising), and linking users, devices, and accounts with each other. Some of these cookies only remain on your device until you leave the website, app, or service, while others save your settings and preferences as soon as you switch from one website, app, or service to another.

3. What Kinds of Cookies Do We Use and What Are Their Features?

To ensure your experience on our websites/apps is user-friendly, interactive, relevant, and secure, we use various cookies and similar technologies, with a distinction made between two types of cookie:

- **Persistent Cookies** stay on your device for a fixed period of time, specified in the cookie. They are activated every time you visit the website or app that generated the cookie.

- **Session cookies** are temporary. Via these, website operators can link your activities to a browser session. A browser session begins when you open the browser window and ends when you close it. As soon as you close the browser, all session cookies are deleted.

Cookies have various features. We use the following cookie types:

3.1. Functional Cookies

These strictly necessary ("functional") cookies are required to provide the basic functions of our websites and apps. Without them, you cannot use our websites and apps as intended. The principal feature of functional cookies is that they make it easier for you to access website and app content. They can also ensure compliance with stricter security regulations for data transmission. Finally, a functional cookie is used to save your decision on the use of cookies on our websites and apps. We also use these cookies to save your location and language settings.

Your consent is not required for the use of functional cookies, as they are absolutely essential for the provision of the website and of the app. You cannot deactivate these cookies, but you can set your browser to block them or alert you about them – but some parts of the websites and apps may no longer work.

We use the following necessary cookies:

Application	Cookies	Provider	Purpose	Expiry date (retention period)

Vontobel

Tealium iQ	utag_main	Tealium Inc.	Session handling	1 year
Tealium iQ	CONSENTMGR	Tealium Inc.	GDPR consent management	90 days
Azure & Active Directory	-	Microsoft	GDPR consent management, authentication & session handling	-
Vimeo	-	Vimeo LLC	Video streaming	-
Cloudflare	-	Cloudflare Inc.	Fraud detection	-
Content Management System	EPi_NumberOfVisits	Episerver GmbH	Number of visits	End of session
Website	Funduserprofile, investorType	-	Investor profile	End of session, up to 90 days
Website	IndicesDisclaimer, vontobelMyCertificateV2	-	Legal disclaimer	90 days
Website	land, country, DetinetDisclaimer, vontobel_disclaimeer, deritrade-disclaimer-v1, verify-region		Visitor's location	End of session, up to 1 year
Website	AL_SESS-S, CraftSessionId, JSESSIONID, laravel_session, NO_CACHE, PHPSESSID, SESS*, SimpleSAMLAuthToken, simpleSAMLSessionID, visitor_id*, visitor_id*-hash, XSRF-TOKEN	-	Authentication & session handling	End of session, up to two hours

Vontobel

3.2. Advertising/Targeting Cookies

Advertising/targeting cookies are used to identify you (based for example on your IP address and geographical location) and to evaluate your use of the website or app. Based on this, you will be offered relevant products and services and the effectiveness of our campaigns will be measured. These cookies may be set by us, or by third parties with the consent of the website operator. Third-party providers can use targeting cookies to evaluate your interests and to show you relevant offers and services on other websites and apps for which Vontobel is not responsible. They work by identifying your browser and device outside the Vontobel website or app. These cookies may, for example, remember that you have visited a website or app, and this information may be shared with third parties, including other advertisers. We also advertise our products, services and events on Vontobel′s social media profiles. To support this process, we use advertising/targeting cookies. Via these, social media operators (e.g. Facebook) can recognize independent users or defined target groups on their platforms and send them offers on our behalf. You can opt out of this by managing your preferences in the cookie settings and not selecting this type of cookie. These cookies may be managed for us by third-party providers. If possible, we contractually require these providers to comply with the applicable confidentiality and data protection regulations and to not use the data obtained for their own purposes.

We use the following advertising/targeting cookies:

Application	Cookies	Provider	Purpose	Expiry date (retention period)
Adform	-	Adform Group	Online advertising	-
Double Click	_gat	Google Inc.	Online advertising	End of session
LinkedIn	-	LinkedIn Corp.	Online advertising	-
Facebook	_fbp	Facebook Inc.	Online advertising	90 days
Twitter	-	Twitter Inc.	Online advertising	-
Octopost	-	Oktopost Technologies Inc.	Online advertising	-
Adjust		Adjust GmbH	Online advertising	-

3.3. Analytics/Statistics Cookies

Analytics/statistics cookies allow us to count visits and identify the source of visits so that we can find out more about the performance of our website and app, and improve it. They help us find out which pages are most popular, how visitors navigate the website and app, and whether they get error messages from a page. Analytics/statistics cookies also make it possible for us to use certain third-party products and services, so that videos can be played or you can give feedback on our services. We configure these cookies so that no information that can personally identify you is stored (see Point 4). Where possible, we contractually require third parties to comply with the applicable secrecy and data-protection regulations and to not use data they gather for their own

Vontobel

purposes. For further information, check each provider's own privacy policy. Vontobel uses the information it collects in an aggregated and thus anonymous way.

We use the following analytics/statistics cookies:

Application	Cookies	Provider	Purpose	Expiry date (retention period)
Google Analytics	_ga	Google Inc.	Web analysis	2 years
Google Analytics	_gid	Google Inc.	Web analysis	1 day
Siteimprove	-	Siteimprove GmbH	Optimizing the digital presence	-
Azure	-	Microsoft Corp.	Web analysis	-
usabilla		Usabilla B.V.	Visitor feedback	-

3.4. Performance & Tracking Cookies

Performance & tracking cookies are stored in the browser and can record data about the user of that browser. This can include activity on a website/app, browsing activity, purchases and preferences, IP addresses, geographical location, and similar. We use these cookies to track your user activities and sessions. This means we can offer you the best possible online experience and a personalized service. These cookies may be managed for us by third-party providers. We contractually require these providers to comply with the applicable confidentiality and data protection regulations and to not use the data obtained for their own purposes.

We use the following performance & tracking cookies:

Application	Cookies	Provider	Purpose	Expiry date (retention period)
Azure	hid	Microsoft Corp.	Personalized content	6 months

4. Are Cookies Used by Third Parties and Partners?

On our websites and apps, we at times use plug-ins and third-party components to improve the user experience and, in particular, our online advertising campaigns. These components can use cookies for similar purposes. Neither the third parties nor Vontobel have access to the data collected by the other parties via cookies. We also use cookies to display Vontobel advertisements when you visit third party websites we are in marketing relationships with. In some circumstances, these third parties collect and provide us with anonymized information about your use of our and other websites, including but not limited to geographic information, your user behavior on a website, or the names of websites that showed advertisements to you. Vontobel uses this information to

Vontobel

display more relevant and useful advertisements and thus to improve the effectiveness of our advertising. Typically, the information is collected for this via cookies and sent to a third-party server (such as Google Analytics (a service network from Google Ireland Limited) or Siteimprove (website optimization service by Siteimprove A/S) and is not linked to your personal data. Depending on the provider, these servers may be located in countries other than Switzerland. The main function of these systems is to collect the following kinds of **anonymized data**:

- Client host name or hidden IP address of the accessing device

- the URL (address of a web site) via which visitors are redirected from or to the Vontobel websites or apps

- Time of the visit

- Browser type and version

- Brand or operating system of the device

- Other device-specific information, e.g. screen size.

The companies specified are responsible for the processing of personal data as described below. They decide at their own discretion on the purposes and means of transmitting data and using it on their own servers. The purpose and means of data collection and the companies′ processing and use of the transferred data are governed by their own data protection guidelines. There you can find even more information about your rights and options for protecting your privacy. Please also note our terms of service for social media.

4.1. Facebook

We use analysis services from Facebook Inc ("Facebook") to evaluate how our website is used and to obtain information for improving our services. We use plug-ins on our website to link to our Facebook site, with cookies being used to collect information such as the number of persons or demographic information about users. We use the data we get from Facebook, aggregated or anonymized in some other fashion, to improve our content and services; only Facebook can associate the data to a person. Facebook Ireland Limited is thus responsible for the collection and processing of personal data just described. At its own discretion, Facebook decides on the purposes and means of transmitting data and using it on its own servers. The purpose and means of data collection and Facebook′s processing and use of the transferred data are governed by Facebook′s data protection guidelines.

There you can find even more information about your rights and options for protecting your privacy. You also have the option to opt out of this processing of your personal data at any time.

4.2. Google

We use advertising and analysis services from Google LLC ("Google") to evaluate how our website is used and to obtain information to improve our services. We use cookies to evaluate information such as the number of persons or demographic information about users. We use the data we get from Google, aggregated or anonymized in some other fashion, to improve our content and services. In this, only Google can associate the data with a person. We use Google′s advertising technologies based on the legal ground of our legitimate interest in targeting our services to potential customers via marketing. You also have the option to opt out of this processing

Vontobel

of your personal data at any time. We also use IP anonymization. With this, your IP address in Switzerland, the EU, or the European Economic Area (EEA) is shortened, your complete IP address being only transmitted to Google in exceptional cases

4.3. LinkedIn

Our website may include LinkedIn social networking technologies provided by LinkedIn Corporation ("LinkedIn"), for example to share content and make our LinkedIn page available. LinkedIn collects information that one of our sites has been loaded via LinkedIn cookies on your browser. In addition, LinkedIn automatically receives the URL of the last website you visited or that you are redirected to. LinkedIn also obtains the IP address of the computer or proxy server you use to access the internet, information about your computer operating system and browser, about your mobile device (including the mobile device identifier from your mobile device's operating system), your mobile device's operating system (if you access LinkedIn from a mobile device), and the name of your ISP or mobile service provider. LinkedIn may also receive location data from GPS-enabled devices if you have enabled this feature, unless you have changed the settings on your mobile device to stop LinkedIn receiving real-time location data. Please note that SlideShare.net, Pulse.me, and the Pulse app are not part of our websites, but rather part of the LinkedIn' services.

4.4. Twitter

Our website may include technologies from Twitter, Inc, ("Twitter"). We use Twitter buttons to access our Twitter account and Twitter share buttons to share the content of our website via your Twitter account. We also integrate a Twitter widget into our website to display a list of our latest tweets. When you visit our website, your browser connects directly to a Twitter server. The contents of the technologies are transmitted directly to your browser and integrated into the website. Certain data is automatically transferred to Twitter in the USA, where it is stored. This includes connection data (your IP address, date and time, the website of the address, also called the URL), the browser type, and the operating system. If you are signed in to Twitter during your visit to our website and use the services just mentioned, information about your user account will be sent to Twitter so that Twitter can identify you from your profile and link you with your visit to our site. When you click on a button, additional data is sent to Twitter and saved to your profile.

4.5. Xing

Our website may integrated a XING Share Button. This allows you to share website content with your Xing contacts via a link. XING buttons are also included. These contain a link to our XING profile. When you access our website, a temporary connection is established with XING AG ("XING") servers via your browser. This is used to deliver the features of the "XING Share Button" (especially calculation and display of the counter value). XING does not store any personal data about you when you visit our website. This applies in particular to your IP address. Via the XING Share Button, your user behavior is also evaluated via the use of cookies.

4.6. Instagram

Our website may include technologies from Instagram Inc, ("Instagram"). We use the integrated Instagram buttons to link our Instagram profile. A widget is also integrated. This allows us to display certain photos and videos from our Instagram profile on our website. When you visit a website that contains such technologies, your browser connects directly to an Instagram server. Via these technologies, data is transmitted directly to your browser and integrated into the website. The data is automatically sent to Instagram and saved on its servers.

Vontobel

This includes transfer of your connection data (e.g. your IP address, date and time, the website of the address, also called the URL), and information about your browser and operating system. This allows Instagram to track your visit to our website, even if you are not actively using these features.

5. How Can You Change Cookie Settings?

On Vontobel websites and apps, you can personalize your cookie settings at any time to adapt them to your preferences. You can deactivate cookies at a later date. This will revoke your consent and the data thus processed, with effect for the future. To do so, click this link: **Change cookie settings.**

If you save your settings, they should also apply to future visits to Vontobel websites or apps. However, for technical reasons beyond our control, this cannot be guaranteed. If, for example, you reset your browser, delete your cookies, or access Vontobel websites or apps with another browser or device, your cookie settings could be lost. To comply with current laws and regulations, you may be asked in some countries to confirm your cookie settings when you access our websites and apps for the first time. If you are in a country in which you are automatically required to define your cookie preferences, you may well be asked to define them again on a future visit.

Additionally, you can use your browser settings to restrict the use of cookies by our websites and apps. Depending on your browser, you may receive a warning before cookies are accepted or you can choose to reject cookies as the default setting. You can find more detailed information on this in various places, such as the Help section of your browser. Unwanted and already-present cookies on your computer or mobile device can be deleted via your browser's settings. To find out more, please establish which web browser you are using then click on the relevant link (as of May 22, 2018)

Google Chrome
Firefox
Internet Explorer
Safari

Please note that opting out from cookies or blocking or deactivating them may mean that the services offered by the Vontobel websites and apps may no longer be fully available and that under certain circumstances, parts of the Vontobel websites and apps may no longer work properly.

You can find more information about cookies, including how to change or delete them, on the UK Information Commissioner's Office website (https://ico.org.uk) or visit https://gdpr.eu/cookies/.

6. Contact

Please inform us if we are not meeting your expectations in relation to the processing of personal data or if you wish to complain about our data protection practices. This will offer us the opportunity to examine your concern and to carry out improvements where necessary. In such cases, please send a clear written request to one of the following offices or one of the following data protection officers.

Vontobel

Vontobel Holding AG
Gotthardstrasse 43
CH-8022 Zurich
Switzerland
Telephone: +41 58 283 59 00
Email: vontobelgroup@vontobel.com

You can also contact our Data Protection Officer (DPO) for Switzerland, for the EU, and/or for the Group:

DPO for Switzerland: dpo.ch@vontobel.com
DPO for the EU: dpo.de@vontobel.com
DPO for the Group: dpo.vontobelgroup@vontobel.com

7. Changes to the Cookie Policy

We reserve the right to occasionally update this cookie policy to reflect changes to our services and to regulatory obligations. We therefore recommend that you check the website and apps regularly. This cookie policy was last changed on July 2020.